



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sasaki, et al.

Examiner: Misook Yu

Serial No.: 09/936,045

Group/Art Unit No.: 1642

Filed: August 31, 2001

Docket: 188-88

For: FUNCTIONAL ORAL
PREPARATIONS

Dated: January 26, 2004

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

| For | Claims Remaining After Amendment | Highest No. Previously Paid For | Present Extra | Rate (Small Entity) | Addit. Fee | Rate | Addit. Fee |
|---|-------------------------------------|---------------------------------------|------------------|---------------------------|---------------|--------|---------------|
| TOTAL CLAIMS* | 28 | 20 | 8 | x 9 = | \$0 | x 18 = | \$14400 |
| INDEPENDENT CLAIMS | 3 | 3 | 0 | x 43 = | \$0 | x 86 = | \$0 |
| <input type="checkbox"/> First Presentation of Multiple Dep. Claim | | | | 145 | | 290 | \$0 |

* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".


*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

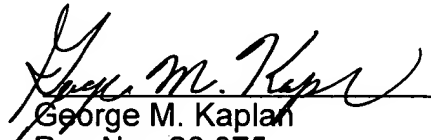
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Dated: January 26, 2004


George M. Kaplan

- ☐ Please charge Deposit Account No. 04-1121 in the amount of \$____. Two (2) copies of this sheet are enclosed.
- ☐ A check in the amount of \$ 144.00 is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. A DUPLICATE OF THIS SHEET IS ENCLOSED.

Respectfully submitted,


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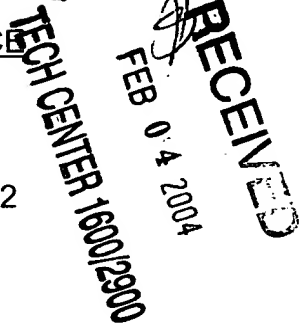
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AMENDMENT

Responsive to the Office Action mailed September 25, 2003 by the
Patent and Trademark Office in the above-identified application, please enter the
following Amendment:

The Amendment to the Claims begins on page 2; and

The Remarks begin on page 7.

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

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DATED: January 26, 2004


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